

# Agricultural Systems

## Ancient Irrigation Systems in Asia and Africa: Typologies, Degradation and Ecosystem Services

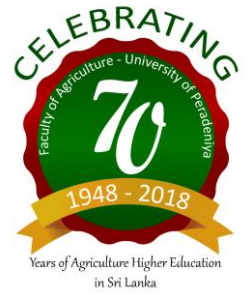
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<b>Corresponding Author:</b>	Jeevika Weerahewa, PhD University of Peradeniya Peradeniya, Central SRI LANKA
<b>First Author:</b>	Jeevika Weerahewa, PhD
<b>Order of Authors:</b>	Jeevika Weerahewa, PhD Jagadish Timsina, PhD Chamali Wickramasinghe, BSc Sithuni Mimasha, BSc Dasuni Dayananda, BSc Gamini Puspakumara, PhD
<b>Abstract:</b>	<p>Highlights</p> <ul style="list-style-type: none"> <li>• Ancient irrigation systems (AISs) found largely in Asia and Africa provide a multitude of benefits to mankind but are at a threat of degradation.</li> <li>• A systematic review conducted to identify typologies, status and causes of degradation of, and ecosystems services provided by, AISs.</li> <li>• 3 typologies (rainwater harvesting based, groundwater based, surface water based) and 4 ecosystems services (provisioning, regulating, cultural, supporting) identified.</li> <li>• Cascade tanks in Sri Lanka and India provide irrigation water for surrounding farming communities and various ecosystem services for local communities and societies.</li> <li>• Rehabilitation of AISs needs urgent attention by governments, private sector, and development partners.</li> </ul>
<b>Suggested Reviewers:</b>	<p>Hemasiri Kotagama, PhD A/Professor, Sultan Qaboos University hemkot26@gmail.com Knowledgeable on tank irrigation systems and qanats.</p> <p>Jeetendra Aryal, PhD CGIAR jeetenaryal@gmail.com Research interests: Climate change policy, Poverty and inequality, Adoption and Impact analysis</p> <p>Sebak Jana, PhD Professor, Vidyasagar University sebakjana@yahoo.co.in An agricultural Economist knowledgeable in tank irrigation system in India.</p> <p>MIM Mowjood, PhD Professor, University of Peradeniya mowjood2010@gmail.com Agricultural Engineer with a specialization in hydrology</p>



# University of Peradeniya

*Department of Agricultural Economics  
and Business Management*  
Faculty of Agriculture, University of Peradeniya,  
Peradeniya 20400, Sri Lanka



Phone: +94812395010 (Office), +94714933591 (Mobile); Fax: +94812388041  
Email: deanagri@pdn.ac.lk; ngpkumara63@gmail.com  
Web: <http://agri.pdn.ac.lk>

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Editor-in-chief  
Agricultural Systems

Dear Madam,

## Submission of Manuscript for VSI – Ancient Irrigation Systems

On behalf of all couthors, I am pleased to submit a manuscript titled '**Ancient Irrigation Systems in Asia and Africa: Typologies, Degradation and Ecosystem Services**' for your consideration of publication in the Virtual Special Issue on «Ancient Irrigation Systems» of Agricultural Systems.

The manuscript is based on a systematic review of peer-rviewed journal articles, conference proceedings, book chapters, and supplemented by and grey literature about several types of ancient irrigation systems broadly groupe under three main systems: rainwater harvesting based, groundwater based, and surface water based in several Asian and African countries. It provides description of various systems and typologies, status and causes of degradation, and four types of ecosystems services (provisioning, regulating, cultural, supporting) provided by the same. The review revealed that among the various systems, cascade tanks in Sri Lanka and India were the most studied systems. All ancient irrigation systems have provided various ecosystem services for local communities and societies in addition irrigation water for surrounding farming communities though there were more published studies of proving such services by cascade tanks of Sri Lanka and India. The review highlights the importance and urgent need of rehabilitation of AISs through a system approach.

I am looking forward for a favourable response from you.

Thank you,

Jeevika Weerahewa  
Professor of Agricultural Economics

Corresponding author

**Highlights:**

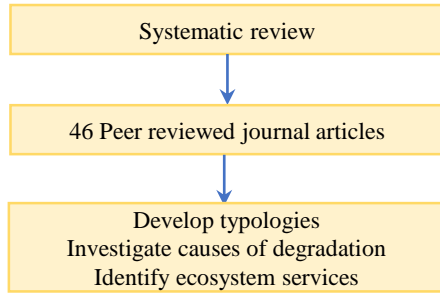
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# Community Managed Ancient Irrigation Systems in Asia and Africa: Typologies, Degradation and Ecosystem services

## Objective

To synthesize the research knowledge on AISs on their typologies, status and causes of degradation, and ecosystem services and functions provided by them in Asia and Africa.

## Methodology



## Causes of Degradation

<b>Natural causes</b>	High intensity rainfall, Dry spell, Natural disasters, Global environmental changes
<b>Physical causes</b>	Infrastructure changes, Human settlements, Forest degradation
<b>Social causes</b>	Urbanization, Changes in land use pattern, Increase population, Neglecting maintenance

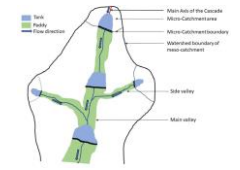
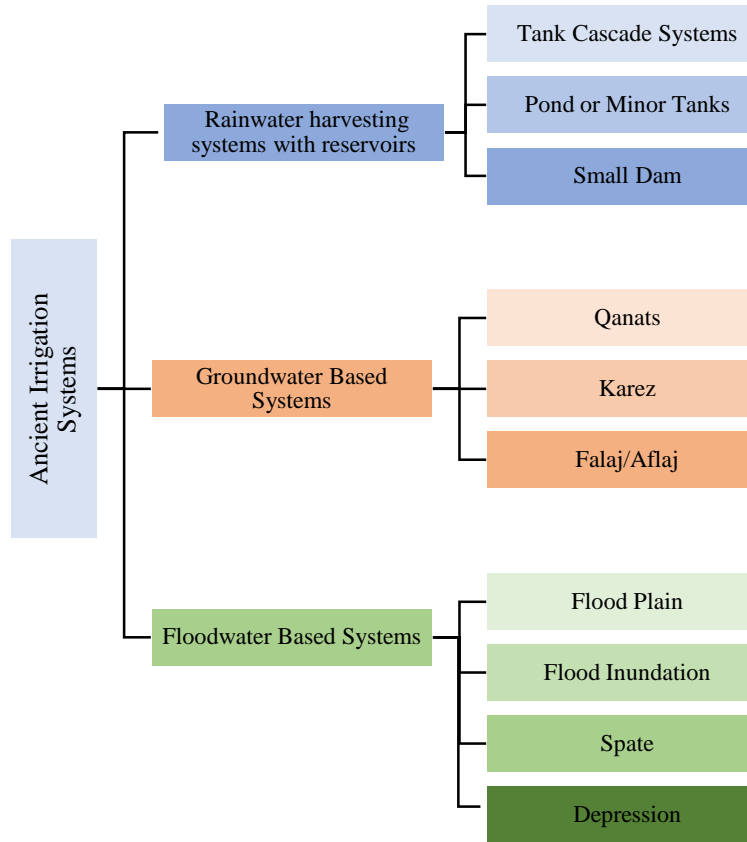
## Ecosystem services

<b>Provisioning</b>	Water for irrigation and domestic purposes, food, fuelwood, fiber, medicinal plants
<b>Regulatory</b>	Flood control, water purification, ground water recharges
<b>Cultural</b>	Spiritual, religious and aesthetic, place for meeting people
<b>Supporting</b>	Wildlife habitat, carbon sequestration

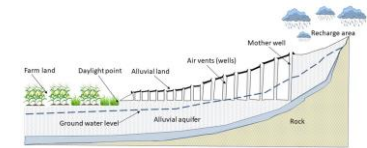
## Conclusions and Recommendations

Proper coordination and management of AISs jointly by the local communities and government organizations would be required to preserve the ecosystems and provide various types of ecosystem services.

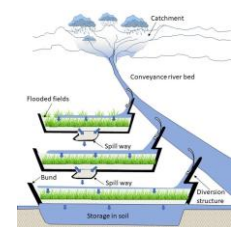
## Typologies and physical structures



Tank Cascade System



Karez system



Spate irrigation system

	Rainwater based Irrigation Systems			Groundwater Based Irrigation Systems			Floodwater Based Irrigation Systems				Total
	Cascade Tanks	Ponds or Minor Tanks	Small Dams	Qanats	Karez	Aflaj/Falaj	Flood Plain	Flood Inundation	Spate	Depression	
<b>Asia</b>	34	6	3	4	4	1	1	1	0	2	56
<b>Africa</b>	0	0	3	0	0	0	1	1	1	1	7
<b>Total</b>	34	6	6	4	4	1	2	2	1	3	63

**Abstract:**

*Context:* Ancient irrigation systems (AISs) with various types and names are used for millennia in Asian and African countries with arid and semi-arid dry climate with low rainfall. They have been providing a multitude of benefits to local communities and to the larger societies. Many AISs are however degraded due to inadequate government support and lack of farmer/community participation in management. A systematic documentation of such AISs in terms of their typologies, causes of degradation, and their ecosystem services and functions is lacking.

*Objective:* The objective of this review was to synthesize the knowledge on AISs on their typologies, status and causes of degradation, and ecosystem services and functions provided by them in Asia and Africa.

*Method:* A systematic literature review of published papers in peer-reviewed journals, conference proceedings and book chapters was conducted. The review was supplemented by grey literature such as unpublished technical reports, Ph.D. dissertations and country report wherever required. Qualitative and quantitative information from journal papers were used to identify and develop the typologies and analyse the status and causes of degradation and ecosystems services and functions provided by the AISs.

*Results and Conclusion:* Based on the review, we categorized AISs into three groups by the source of irrigation water: Rainwater harvesting systems (RHSs) with small reservoirs, ground water based systems, and floodwater based systems. The review showed that the causes of degradation of AISs are multi-faceted. The RHSs such as tanks or ponds which used to receive reliable rainfall and managed by well cohesive social organizations for their maintenance and functioning in past have now been difficult to maintain due to erratic rainfall pattern and breakdown of such organizations in recent decades. As a result, siltation of tanks has become a common issue in all RHSs. In systems that use ground water, indiscriminate development of deep tube wells causing siltation of channels has been a major challenge. Lack of maintenance and increased soil erosion, and inadequacies of skilled manpower and support from the government for repair and maintenance were the main causes of degradation of all AISs. In floodwater irrigation systems irregular rainfall in the highlands and the breaking of the irrigation structures by destructive big

floods were the main causes of degradation. Lack of implementation of floodwater management rules, techniques and practices, and inappropriate interventions used on head works, canals and distribution structures also led to degradation.

The main eco-system service provided by all AISs is water for agriculture. In tank cascade and pond systems, fish farming is also practiced. Various types of provisioning, regulatory, cultural and supporting services are provided by the tank cascade systems since they were intrinsically connected to ancient civilization particularly in India and Sri Lanka. Ground water sourced systems provide water for domestic purposes in addition to irrigation and various cultural services while floodwater based systems for power generation and for wildlife habitat maintenance, and help in flood control.

*Significance:* The knowledge generated on typologies and causes of degradation of, and ecosystem services provided by, AISs will provide evidence-based information and awareness to the governments, private sectors and development agencies in planning and policy making and prioritizing the restoration, rehabilitation, and management of various AISs.

# Ancient Irrigation Systems in Asia and Africa: Typologies, Degradation and Ecosystem Services

Jeevika Weerahewa<sup>a\*</sup>, Jagadish Timsina<sup>b</sup>, Chamali Wickramasinghe<sup>c</sup>, Sithuni Mimasha<sup>d</sup>,  
Dasuni Dayananda<sup>e</sup>, Gamini Puspakumara<sup>f</sup>

<sup>a,d,e</sup> Department of Agricultural Business and Management, Faculty of Agriculture, University  
of Peradeniya (email: <sup>a</sup>[jeevika.weerahewa@agri.pdn.ac.lk](mailto:jeevika.weerahewa@agri.pdn.ac.lk), <sup>d</sup>[sithunim@agri.pdn.ac.lk](mailto:sithunim@agri.pdn.ac.lk),  
<sup>e</sup>[dasunid@agri.pdn.ac.lk](mailto:dasunid@agri.pdn.ac.lk))

<sup>b</sup> Institute for Study and Development Worldwide, Sydney, Australia (email:  
[timsinaj@hotmail.com](mailto:timsinaj@hotmail.com))

<sup>c</sup> Postgraduate Institute of Agriculture, University of Peradeniya, Sri Lanka (email:  
[chamaliwick93@gmail.com](mailto:chamaliwick93@gmail.com))

<sup>f</sup> Department of Crop Science, Faculty of Agriculture, University of Peradeniya (email:  
[ngpkumara@agri.pdn.ac.lk](mailto:ngpkumara@agri.pdn.ac.lk))

**\*Corresponding author**

## Highlights:

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79 and prioritizing the restoration, rehabilitation, and management of various AISs.

80

81           **1. Introduction and methodology**

82 Global irrigated agriculture is highly diverse. Globally, irrigation extracts water from surface  
83 water (e.g., rivers, lakes) and groundwater (e.g., aquifers) sources. About 188 million ha, Mha  
84 (62%) of the global irrigated area receives water from surface water sources, while about 113  
85 Mha (38%) from the groundwater sources (Thenkabail et al., 2008). The predominant irrigated  
86 systems practised worldwide are large-scale public systems (e.g., low-lying fields for rice  
87 production in humid areas or for staples and cash crops in dry areas), small and medium-scale  
88 community-managed systems such as tank irrigation in Sri Lanka and South India, commercial  
89 private systems for cash crops, and farm-scale individually managed systems producing for the  
90 local market (Molden et al., 2007). In these systems, water conveyance and distribution may  
91 be by gravity or through pressure, and management and institutional set-up could be public,  
92 user-run, private, community-based, or in any combinations (FAO, 2011).

93 Of the above systems, the small and medium-scale community-managed ancient irrigation  
94 systems (AISs) have immensely supported local communities to meet their food and domestic  
95 water needs from ancient times and continue to enhance livelihoods and alleviate rural poverty  
96 and food insecurity in many countries even now. Small- to medium-scale AISs are prevalent  
97 across all continents, and particularly in developing countries of Asia, Africa, Europe, and  
98 Southern America. The irrigation unit may range from a small individual farm up to massive  
99 integrated schemes such as the Rohri canal system in Pakistan, which covers 1.04 Mha (Dubois,  
100 2011). There are ample evidence showing that such systems have potential to improve crop  
101 and animal productivity and maintain ecosystems services providing various provisioning,  
102 regulatory, supporting and cultural services to the communities who reside in and around the  
103 irrigation systems and to the society at large. Over time, due to lack of effective and efficient  
104 water governance at local level due to poor national water legislations, poor management or  
105 maintenance, or climate change, a degradation of these systems in many countries has now  
106 become evident. Many structures of the AISs have fallen into disrepair or disuse resulting in  
107 siltation of the structures and salinity of irrigation water and surrounding fields (Pandey et al.,  
108 2003). In addition, such irrigation systems are being adversely affected by climate change. As  
109 a result, governments and community organizations responsible for maintenance and  
110 management of such systems are facing difficulties in restoring such irrigation systems  
111 worldwide (Saatsaz, 2020; Sirimewan et al., 2021).

112

113 The objective of this review is to synthesize the research knowledge on community managed  
114 AISs paying special attention to their typologies, causes and extent of their degradation, and  
115 their role in providing various types of ecosystem services. We conducted a systematic review  
116 of literature covering peer reviewed journal papers and grey literature to synthesize the  
117 knowledge and identify gaps and priorities for research in these areas. Due to our prior  
118 knowledge and experience about the existence, dominance and importance of the AISs in Asia  
119 and Africa, the review focuses on these two continents. Moreover, our prior knowledge  
120 revealed that tank cascade irrigation systems (TCSs) are the major types of AISs in South India  
121 and Sri Lanka (Panabokke, 2009; Panabokke et al., 2002). Hence, the review emphasizes on  
122 the TCSs in these countries but also giving due importance and enough coverage to other  
123 community managed AISs and countries in both continents. Further, we decided not to include  
124 hydrological modelling studies on AISs since they have been subject of review and  
125 investigations by many others (Palanisami & Flinn, 1988; Jayatilaka et al. 2003; Satiskumar et  
126 al., 2010; Unami et al., 2015). Private tube wells have rapidly spread in all South Asia countries  
127 since early 1980s with assistance by both governments and private sectors and with donors’  
128 interest (e.g., Hasan et al., 2021; Haque et al., 2022; Panabokke et al., 2002). However, since  
129 these are generally managed by individual users they are not included in this review.

130

131 We used a pragmatic methodological approach to conduct this literature review. We searched  
132 published peer-reviewed papers from Science Direct, Scopus and Web of Science using  
133 relevant keywords. In addition, we used Google Scholar, Research Gate and Academia to  
134 identify relevant papers, reports, and working papers. The studies included were published as  
135 journal papers, books or conferences proceedings papers, or unpublished materials such as  
136 technical reports, discussion papers, country project reports, Ph.D. dissertations, etc. during  
137 1985 to 2022. Out of various materials reviewed from various sources, only papers published  
138 in peer reviewed journals were used to identify and develop typologies, investigate causes of  
139 degradation, and analyze ecosystems services and functions. Only the journal papers that  
140 devoted to investigation of at least one AIS covering at least one of the countries in Asia or  
141 Africa were included in the summary tables. Some papers addressed more than one AIS in  
142 more than one Asian or African countries and hence the number of cases of AISs extracted  
143 from those papers exceeded the number of papers (Tables 1-5). We also noted that scientific  
144 evidence related to some important AISs (for example dams in Yeman) have not been published  
145 in journal papers. Therefore, information on such systems were obtained from grey literature  
146 and included in the review where appropriate but not included in the preparation of summary

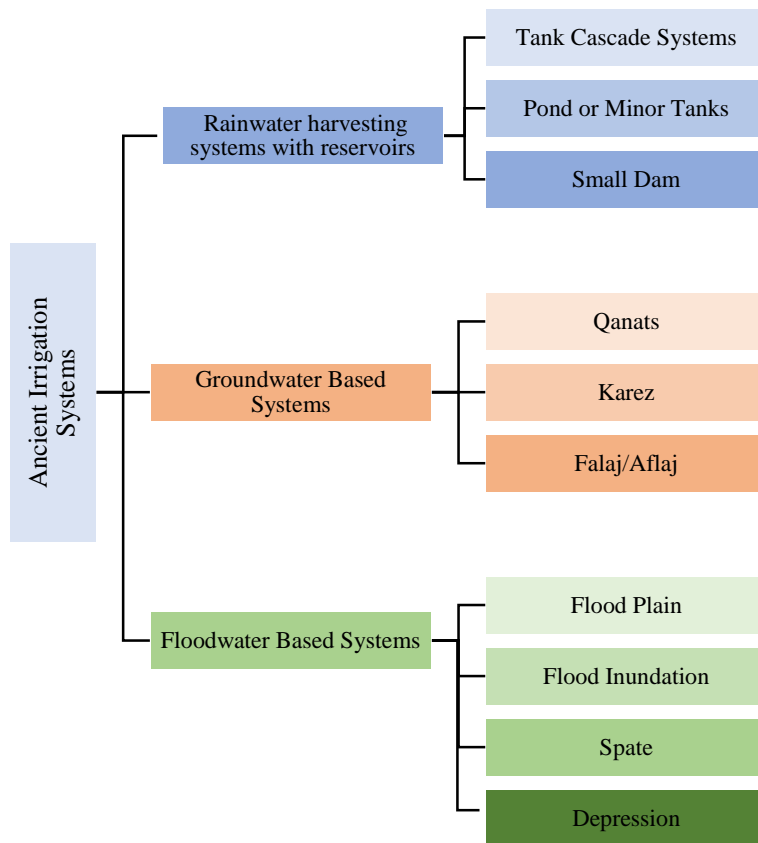
147 tables. Supplementary Table 1 provides the list of journal papers included in the preparation of  
148 various summary tables.

149

## 150 **2. Typologies of AISs**

151 The review identified diverse types of AISs, which differ by management type (solely by  
152 community, or community and government together), water harvesting techniques (rainwater,  
153 groundwater, or floodwater), or storage mechanisms (aboveground or underground; connected  
154 or individual), etc. Different authors have adopted different classification systems for AISs.  
155 For example, Hoanh et al. (2009) reported six systems in Lower Mekong Basin countries. They  
156 include (i) reservoir-gravity, (ii) off-river-gravity, (iii) off river-pumping, (iv) conjunctive  
157 groundwater – surface water, (v) integrated management, and (vi) small-scale water storages.  
158 In contrast, Takeshima & Edeh (2017) divided households into four irrigation user types  
159 (mechanized, stream, pump, and temporary irrigators) using a cluster analysis in Nigeria. Based  
160 on various AISs used in Asia and Africa, this review classified AISs into three systems based  
161 particularly on source of irrigation water namely rainwater harvesting system (RHSs) with  
162 small to medium reservoirs, groundwater based system, and floodwater based system while  
163 recognizing that there exists connections between RHSs with groundwater (Chowdhury &  
164 Behera, 2018 and Kumari et al., 2019). Figure 1 shows the typologies of AISs developed and  
165 adopted in this review.

166



167

168 Figure 1: Typology of ancient irrigation systems (Source: authors' developed)

169 Of the 46 journal papers examined (Table 1), there were 20 studies related to Sri Lanka  
 170 discussing TCSs (Mahatantila et al., 2008; Geekiyanage & Pushpakumara, 2013; Abeysingha  
 171 et al., 2021; Nanthakumaran et al., 2021; Sirimanna & Prasada, 2021; Kahathuduwa & Prasada,  
 172 2022). Similarly, most of the 19 studies in India (13) were related to tank irrigation systems  
 173 (for example, Bitterman et al., 2016; Chowdhury & Behera, 2018; Chinnasamy & Srivastava,  
 174 2021). One study discussed about the cascade tanks in Indonesia (Darma et al., 2011). Most  
 175 studies (72.7%) in Middle East were however related to ground water based systems (Ambler,  
 176 1994; Khan and Nawaz, 1995; Lightfoot, 1996; Jayasena & Gangadhara, 2014; Himat &  
 177 Dogan, 2019; Angelakis et al., 2020; Azami et al., 2020). There were two studies on pond  
 178 irrigation from China and one on Karez system from Pakistan (Khan & Nawaz, 1995; Chai &  
 179 Zeng, 2018; Angelakis et al., 2020). Spate irrigation was mentioned in one study in Sudan, and  
 180 by dams in three studies in Tunisia, Nigeria and Mozambique (Turner, 1994; Abdelgalil &  
 181 Bushara, 2018; Angelakis et al. 2020; dos Anjos Luis & Cabral, 2021).

182

183 Table 1: Distribution of ancient irrigation systems across Asia and Africa<sup>1</sup>

	Rainwater based Irrigation Systems			Groundwater based Irrigation Systems			Flood based Irrigation System			Total	
	Cascade Tanks	Pond or Minor Tanks	Small-dams	Qanats	Karez	Afalaj/Falaj	Flood plain	Flood inundation	Spate		Depression
<b>Sri Lanka</b>	20	0	0	0	0	0	0	0	0	0	<b>20</b>
<b>India</b>	13	3	1	0	0	0	0	1	0	1	<b>19</b>
<b>Indonesia</b>	1	0	0	0	0	0	0	0	0	0	<b>1</b>
<b>China</b>	0	2	0	0	0	0	1	0	0	0	<b>3</b>
<b>Pakistan</b>	0	0	0	0	1	0	0	0	0	0	<b>1</b>
<b>Nepal</b>	0	1	0	0	0	0	0	0	0	0	<b>1</b>
<b>Middle East</b>	0	0	2	4	3	1	0	0	0	1	<b>11</b>
<b>Sudan</b>	0	0	0	0	0	0	0	0	1	0	<b>1</b>
<b>Tunisia</b>	0	0	1	0	0	0	0	0	0	0	<b>1</b>
<b>Mozambique</b>	0	0	1	0	0	0	0	0	0	0	<b>1</b>
<b>Egypt</b>	0	0	0	0	0	0	0	0	0	1	<b>1</b>
<b>Nigeria</b>	0	0	1	0	0	0	0	0	0	0	<b>1</b>
<b>Ethiopia</b>	0	0	0	0	0	0	1	1	0	0	<b>2</b>
<b>Total (AISs)</b>	<b>34</b>	<b>6</b>	<b>6</b>	<b>4</b>	<b>4</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>1</b>	<b>3</b>	<b>63</b>

184 Source: Authors' calculations

185 <sup>1</sup>The total row count and the column count exceed the number of journal papers reviewed since some  
186 articles cover multiple AISs and countries.

187

## 188 2.1 Rainwater Harvesting Systems with Reservoirs

189 Small to large reservoirs used to store water for irrigation are commonly known as tanks or  
190 ponds, which in cascade system are interconnected with various canals. The number, size and  
191 spread of tanks across the globe have not been adequately documented. Mady et al. (2020)  
192 reported that globally there are about 3 million small-sized reservoirs (<0.1 Km<sup>2</sup>) in operation  
193 in semi-arid climatic regions in USA, Brazil, Spain, Italy, Morocco, Nigeria, India, Myanmar,  
194 China and Australia, with a total water surface area of 17 800 Km<sup>2</sup> and seasonal storage of 37  
195 Km<sup>3</sup>, supporting 15% of the world's population. Furthermore, compared to large reservoirs,  
196 water storage in small reservoirs is small (Mady et al., 2020). Nevertheless, small reservoirs  
197 due to their high density are reported to have a high socio-economic value (Mady et al.,  
198 2020).

### 199 2.1.1 Tank cascade irrigation systems

200 TCSs are predominant in dry zone of Sri Lanka and in arid and semi-arid regions of the  
201 Southern Indian peninsula. The tanks are constructed across the slope of a valley to catch and  
202 store water during the rainy season. In such systems, small or large tanks are interconnected  
203 with each other by a common stream flowing under gravity from the upstream tank thereby  
204 feeding the downstream tank. The underlying principle behind tank operation is the reuse and  
205 recycling of water from a series of tanks. The tank catchments capture and conserve rainwater  
206 runoff, which would otherwise flow down as streams and mostly dissipated as evaporation.  
207 The captured rainwater is used for irrigation of agricultural lands, drinking and other  
208 households uses, ground and sub-surface water recharge, nutrient and sediment retention, flood  
209 and drought control, soil erosion avoidance, phyto-remediation, water purification,  
210 evapotranspiration reduction, salt filtration, and conservation of macro and micro aquatic  
211 fauna, etc. (Deivalatha and Ambujam, 2011).

212 **Sri Lanka:** TCSs in Sri Lanka were developed by the ancient kings as the water conservation  
213 strategy for the dry zone during the Rajarata hydraulic civilization. Perera (2017) provided a  
214 good account on the evolution of tanks and Kakulandala et al. (2021) provided a detailed  
215 description on the issues related to management of TCSs in Sri Lanka. In the TCS, rainwater  
216 is harvested and conserved for irrigation of surrounding fields. Tanks, paddy fields, watersheds  
217 and canals are integrated and interwoven with the natural environment (Marambe et al., 2012).  
218 Figures 2 and 3 provide a spatial setting of the TCS using an aerial photograph and a sketch  
219 respectively. Figure 2 depicts several interconnected ecological land use components of a tank  
220 with micro-catchments and purpose, functions and environmental services provided by those  
221 components in a TCS (Ratnayake et al., 2021). The stability, sustainability and resiliency of  
222 the tank or the TCS depends on the presence or absence of those components and their  
223 configurations and functions.

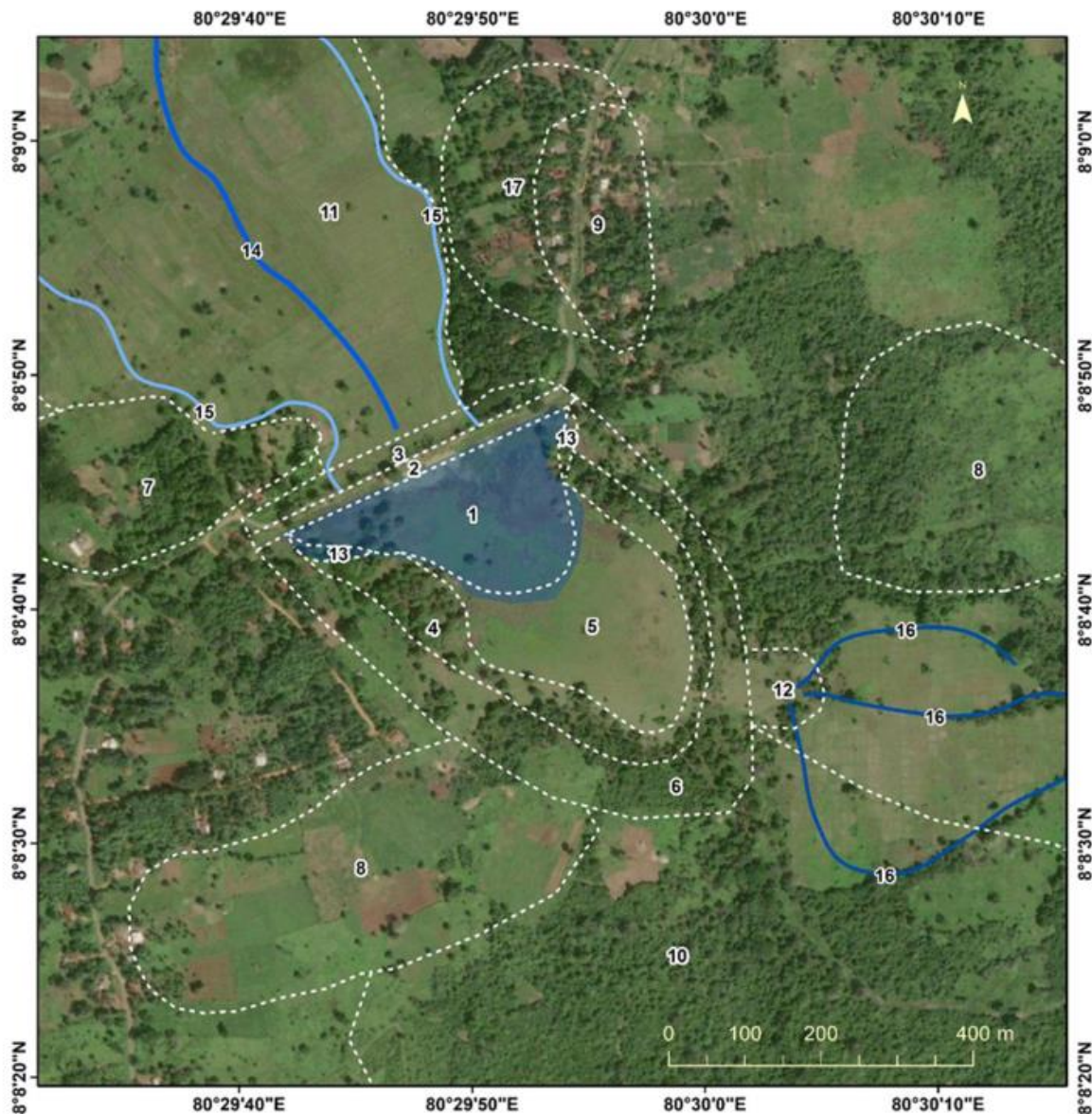
224 Bandara (1985) reported that the TCSs in Sri Lanka have evolved as a network of hydraulic  
225 structures for conservation and recycling of harvested rainwater, whereas the hydraulic  
226 civilization has harnessed the availability of surface and groundwater for their consumption.  
227 Thennakoon (2017) argued TCS is not an isolated entity but a creation in response to the  
228 limitation imposed by the physical, climatological and environmental factors whereas Jayasena  
229 & Gangadhara (2014) suggested physical setup of an irrigation system in dry environment be  
230 the main platform for an effective water management planning and designing process. The  
231 TCSs provide multifarious roles in water management and delivery especially in dry season,

232 help meet food security of tank dependent communities, and provide multiple ecosystems  
233 services and functions. Due to these reasons, the TCSs of Sri Lanka were identified by the Food  
234 and Agriculture Organization (FAO) as a Globally Important Agricultural Heritage System  
235 (GIAHS) in 2017 (FAO, 2017).

236 The TCSs are classified based on arrangement of tanks in cascade as linear (Panabokke, 1999),  
237 crescent (Bandara, 1985), dendritic (Thennakoon, 2004), and fan formation (Panabokke, 1999).  
238 The number and size of the tank in a cascade varies widely, with average of eight tanks  
239 (Panabokke, 1999). TCSs exist throughout the dry zones in north-western, northern and  
240 southern provinces in Sri Lanka. However, the estimates regarding the number of tanks whether  
241 in operation or not vary widely. Panabokke et al. (2002) reported that by 1300 A.D., around  
242 15,000 small tanks were in some state of existence and were either fully or partly operational.  
243 Geekiyanage & Pushpakumara (2013) reported that each tank of the cascade delineates a  
244 distinct small watershed or meso-catchment ranging in extent from 13 to 26 Km<sup>2</sup> and averaging  
245 20 Km<sup>2</sup>. Panabokke (2009) reported the total number of both functioning and abandoned tanks  
246 of about 18,387. On the other hand, IUCN (2015) reported approximately 14,200 small tanks  
247 and 13,000 anicuts, feeding an extent of approximately 246,000 ha (~ 39% of the total irrigable  
248 area of the country). TCSs provide water for approximately 200,000 ha (Personal  
249 Communication with Kadupitiya, 2022) out of 775, 846 ha of paddy lands (Department of  
250 Census and Statistics, 2022). However, an estimated 15,958 minor tanks with a command area  
251 of 188,310 ha have been supporting the livelihood of 386,860 families (IUCN, 2015). Recent  
252 estimates by Abeywardena et al. (2019) and Bebermeier et al. (2017) include around 10,000  
253 small village tanks which are in use in the country.

254

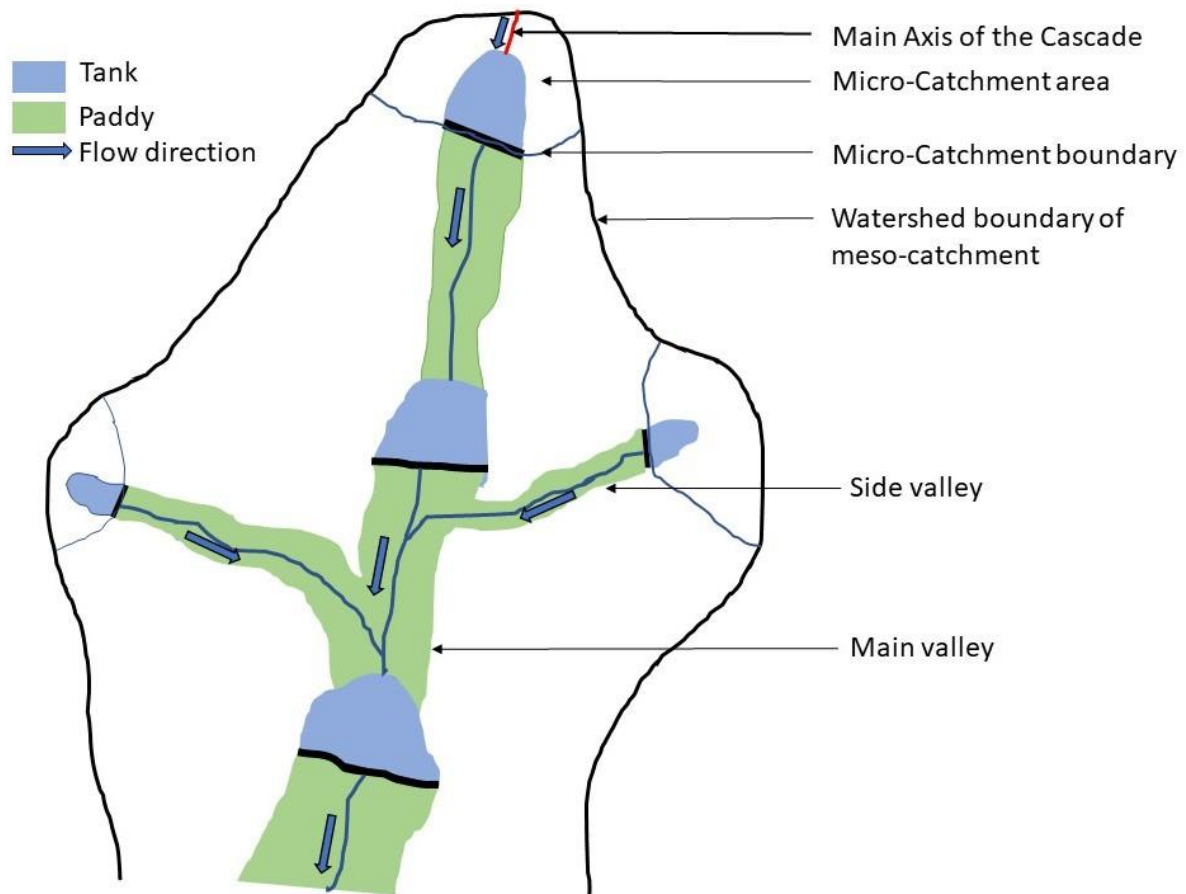




255

256 Figure 2: The spatial setting of tank with catchment and associated micro-land use components  
 257 within a selected micro-catchment in the Mahakanumulla TCS (Adapted from: Ratnayake et  
 258 al., 2021). 1 = tank and its bed; 2 = *Wew kandiya* (tank bund); 3 = *Kattakaduwa* (interceptor);  
 259 4 = *Gasgommana* (upstream tree belt); 5 = *Wew-thaulla* (upstream shallow tank bed); 6 = *Wew-*  
 260 *ismaththa* (upstream immediate catchment); 7 = *Landa* (shrubland); 8 = *chena, hena* (rainfed  
 261 farmland); 9 = *Gangoda* (hamlet); 10 = *diyabethme* (upper catchment forest); 11 = paddy fields  
 262 (command area); 12 = *Godawala* (upstream water-hole); 13 = *Iswetiya* (soil ridge); 14 = *Kiwul-*  
 263 *ela* (common drainage); 15 = irrigation canal; 16 = *Ela* (stream); 17 = *Tis-bambe* (backyard  
 264 reservation around hamlet).

265



266

267 Figure 3: Schematic illustration of tank cascade system showing interconnected tanks, paddy  
 268 fields, catchment area, and valleys (Adapted from: Panabokke et al., 2002).

269 **Southern India:** Arid and semi-arid regions of Southern Indian peninsula experience frequent  
 270 droughts and high temperature. Watersheds in these regions are characterized by hot climate,  
 271 scanty water availability, and erratic rainfall. Similar to the definition, structure and functions  
 272 of TCS in Sri Lanka, Srivastava et al. (2021) defined the TCS in Southern India as a network  
 273 of surface water holding structures in which a chain of tanks in the cascade forms a micro-  
 274 watershed, with individual tank having its own catchment, tank bed area, and command area.  
 275 Palanisami & Flinn (1988) reported that the tank irrigation systems of Tamil Nadu, Karnataka  
 276 and Andhra Pradesh account for over 30% of the irrigated rice area. Van Meter et al. (2016)  
 277 reported that more than 39,000 of RWH tanks exist in Tamil Nadu only. These RWH tanks  
 278 each of 20–40 ha in size, which commonly take the form of earthen impoundments, are built  
 279 from natural depressions in the landscape and have historically been designed to meet the water  
 280 needs of subsistence farmers for rice production (Van Meter et al., 2016).

281 As in Sri Lanka, TCSs in India were constructed historically primarily to take care of the water  
 282 needs for agriculture and for use by humans and livestock (Debnath et al., 2020, Chowdhury

283 & Behera, 2021). Such tanks are popular especially among farmers of South Indian states  
284 (Andhra Pradesh, Telangana, Karnataka, and Tamil Nadu). Readers are referred to Palanisami  
285 & Flinn (1988), Chinnasamy & Srivastava (2021), Krishnaveni et al. (2011), and Oppen (1987)  
286 for further details about the status and functions of TCSs in South India.

287

288 **Indonesia:** Darma et al. (2011) reported that the TCSs in the mountainous areas of West  
289 Sumatra, Indonesia have become the main source of water to irrigate large areas of terraced  
290 rice fields. As for TCSs in Sri Lanka and Southern India, water flows from upper to lower rice  
291 terraces carrying soil particles (sediments and nutrients) and thus provides continuous water  
292 flow to rice fields within and between the tanks in the TCS of West Sumatra too. Agus et al.  
293 (2006) found that sediment gain in terraced rice fields in Indonesia ranges from 2 to 5.4 Mg ha<sup>-1</sup>  
294 per cropping season with significant amount of nutrients.

295

#### 296 2.1.2 Pond irrigation systems

297 Ponds are water storage devices similar to, but generally smaller and shallower than, tanks.  
298 Ponds are predominant in Nepal (Bastakoti et al., 2016; Egloff et al., 2013), Bangladesh (Huq,  
299 2017), China (Chai & Zeng, 2018) and India (Das et al., 2021; Debanath et al., 2020; Reddy et  
300 al, 2018), but are also important in Yemen in West Asia and Africa.

301 **Nepal:** In Nepal, ponds (or tanks) are locally known as *pokharis* and most often are found as  
302 the stand-alone water resources, although in some areas cascades of several ponds are also  
303 common. Ponds in Nepal vary considerably in size. Larger ponds (>5 ha) are generally natural  
304 ponds but many have been subjected to modernization for productive use, while smaller ponds  
305 are built and managed by the local people. Pond irrigation system generally comprises of the  
306 following components: intakes for one or several water sources, HDPE (High Density  
307 Polyethylene) pipes conveying the extracted water, flow-regulating chambers distributing  
308 water to one or several ponds, and water taps connected to the ponds serving as irrigation  
309 outlets (Egloff et al., 2013). Such ponds are replenished by floodwater in rainy season.

310 Ponds are primarily used for irrigation and fisheries, though their use varies across the  
311 geographical regions. Bastakoti et al. (2016) reported that many small to medium-sized  
312 community ponds are in most parts of the Terai region and could be a feasible alternative to  
313 surface irrigation. Despite potential, the large-scale uptake of pond irrigation is limited in Nepal

314 owing to poor planning and implementation, poor functioning of input and output markets, lack  
315 of farmers' skill to use the ponds effectively, and poor institutional arrangements including  
316 unclear property rights.

317 **Yemen:** The Cisterns of Tawila, a well-known historic site in Aden in Yemen consists of  
318 ponds, varying size, shape and capacity, and can store enough water to last several months. For  
319 thousands of years, a network of aqueducts and basins has helped Yemen cope with both floods  
320 and drought. However, in recent years, the channels are mostly blocked with garbage  
321 (Baquhaizel et al., 2011).

322 **Africa:** Dixon et al. (2020) reported that RWH and small-scale irrigation systems in ancient  
323 times were common across North Africa and more recently, they have been growing much  
324 faster than the large-scale irrigation systems. For example, in Rwanda, government and non-  
325 governmental organizations introduced RWH ponds in 2007 to mitigate the effects of erratic  
326 rainfall in the arid and semi-arid areas and since then promoting the small-scale irrigation  
327 systems in the country (Zingiro et al., 2014). McCartney (2021) reported that small-scale  
328 storage structures, RWH and groundwater all play an important role in ensuring water supply  
329 at the household level and are widely perceived as critical irrigation systems for adaptation to  
330 climate change in the Volta basin. Many small reservoirs are constructed in the semiarid  
331 regions of northern Ghana and Burkina Faso too, with almost 70% with <1 ha and the  
332 remaining typically ranging from 1 to 35 ha. The reservoirs are hydrologically linked by the  
333 streams that have been dammed and are used as multipurpose water sources for irrigated  
334 agriculture and gardening, livestock watering and fishing, as well as personal hygiene,  
335 domestic uses, income-generation, and brickmaking (McCartney, 2021).

336

## 337 **2.2 Ground Water based Irrigation Systems**

338 Ground water based irrigation systems comprise of a physical structure that pumps  
339 groundwater to the surface for domestic and agriculture use. These systems in South Asian and  
340 Middle Eastern countries are quite large and complex and are either privately or community  
341 managed. Ground water based systems are referred to in different countries by different names  
342 even though they have very similar hydrological structure. For example, structures in Iran,  
343 Yemen, Jordan and Romania are called qanats and in Afghanistan and Pakistan are known as  
344 karez. A similar structure located in Ghail Bawazeer in Yeman is called as Ma'aayeen system  
345 (Baquhaizel et al., 2011). The structures in many Arabian countries are called Aflaj or Falaj.

346

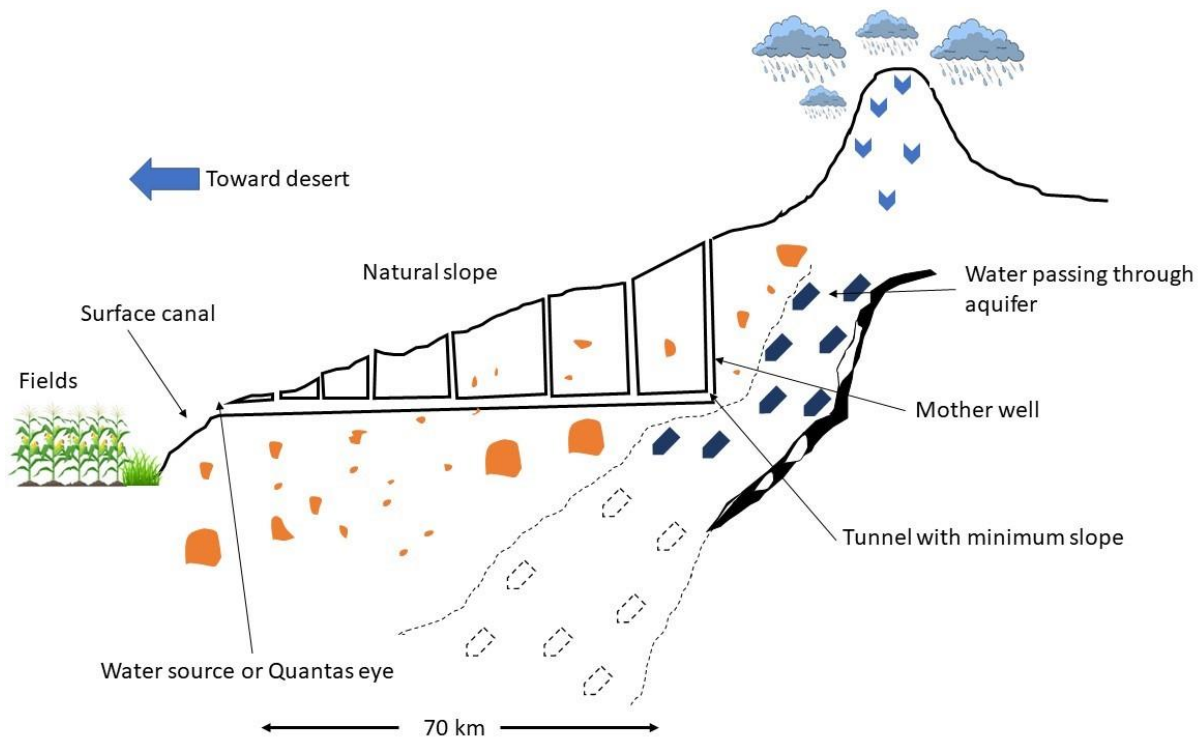
347 **Qanat system:** Qanat is a gently sloping underground canal for transport of water from  
348 groundwater aquifer or water well to surface for irrigation and drinking (Fig. 4). Historical  
349 records indicate its presence in Asian and African countries as far as 3000 years back  
350 (Alemohammad & Gharari, 2017; Jayasena & Gangadhara, 2014). Alemohammad & Gharari  
351 (2017) reported that qanat systems exist in over 30 countries in Asian, African, European and  
352 American continents. Countries where qanats are popular include Afghanistan, Bahrain, China,  
353 India, Iran, Iraq, Jordan, Pakistan, Palestine, Russia, Syria, Saudi Arabia, Oman, Turkey,  
354 United Arab Emirates (Abu Dhabi) and Yemen in Asia, and Algeria, Egypt, Libya, Morocco  
355 and Tunis in Africa`. Today there are about 32,000 Qanats in Iran alone which provide about  
356 10,000 million cubic meters (MCM) water per year (Alemohammad & Gharari, 2017).  
357 However, over time qanats have undergone many technological, social, moral, economical, and  
358 legal changes (Alemohammad & Gharari, 2017).

359

360 The qanat system in Iran is operated with successive wells joined by a horizontal conveyance  
361 canal and fed by the groundwater in the foothills (Jayasena & Gangadhara, 2014). In ancient  
362 times, a special (marginal) class of people were involved in their maintenance, which used  
363 extraordinary water measuring systems and adopted local water institutions or local laws  
364 (Jayasena & Gangadhara, 2014).

365

366



367

368 Figure 4: Schematic diagram of a qanat system. (Adapted from: Jayasena and Gangadhara,  
 369 2014). Mother well-This is the main water source and located at the extreme end along the  
 370 series of wells; Shaft/wells- sunk at regular intervals along the route of the tunnel to access the  
 371 tunnel for construction and maintenance (extraction of debris, soil, dredging and ventilation);  
 372 Subterranean canal/ qanat tunnel- constructed by approaching through a series of wells or shafts  
 373 dug and water is carried by gravity flow

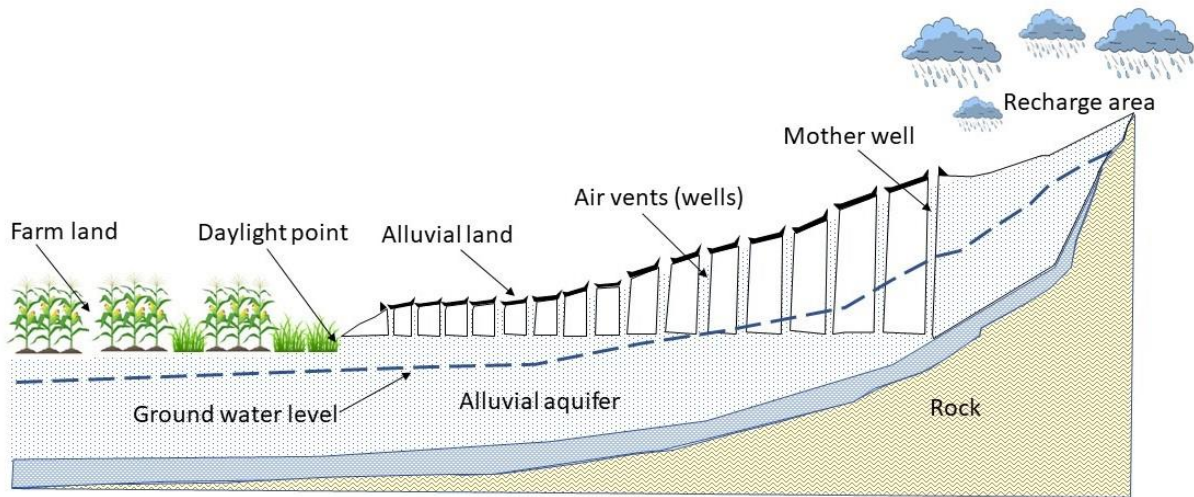
374

375 **Karez system:** Karez system in Pakistan is similar to qanat system. The physical components  
 376 of a typical Karez system commence with a mother well, constructed to tap groundwater  
 377 upwards, often in an alluvial fan, and near the base of hills or mountains. The mother well  
 378 serves as an outlet point for the upstream groundwater that then discharges into a gently sloping  
 379 subsurface, sub-horizontal channel and flows under gravity to the village, where it is routed  
 380 through above-ground channels for various uses (Ashraf & Hasan, 2020) (Fig. 5). This system  
 381 extracts groundwater to surface by gravity without using any pumping equipment (Himat &  
 382 Dogan, 2019). UNESCO and PCRWR conducted a cultural mapping of karez in Pishin,  
 383 Pakistan to assess its relevance for inclusion in the world heritage listing. It has been reported  
 384 that some of the karezes were built in Balochistan before the arrival of the Mughals in 1525  
 385 A.D. (Ashraf & Hasan, 2020; UNESCO, 2021).

386

387





388

389 Figure 5: Schematic diagram of a typical Karez system. (Adapted from: Kahlowm et al., 1987)

390 Mother well – this well is the source of water located at the upstream; Air vent - to undertake  
 391 operation, maintenance and removal of accumulated debris and gases, ventilation, fetching of  
 392 water through bucket and pulley systems; Daylight point - point where water comes out from  
 393 the subsurface channel.

394 **Aflaj/ Falaj system:** Omanis have developed the Aflaj irrigation system through surface and  
 395 tunnel conduits as deep as 50m underground extending over long distances to transfer water  
 396 from its sources to agricultural lands. The horizontal wells of aflaj assure holistic use of water  
 397 for human consumption and ecological sustenance in the desert environment. Aflaj is managed  
 398 collectively by village organizations with strong and cohesive social leadership institutions. It  
 399 is managed in such a way that at the head end of the channels water is used for domestic uses  
 400 and at the tail end for agricultural uses. Water in its channel flow is first used for drinking and  
 401 then for mosques and forts, men’s followed by women’s bathing, washing clothes, irrigating  
 402 perennial crops followed by seasonal crops, and a balance drained (Kotagama, 2021).

403

### 404 2.3 Floodwater based Irrigation Systems

405 Floodplain agriculture dates back to more than 5000 years in Pakistan and Yemen (Zenebe et  
 406 al., 2022). Floodwater based irrigation systems rely on temporary floods and provide

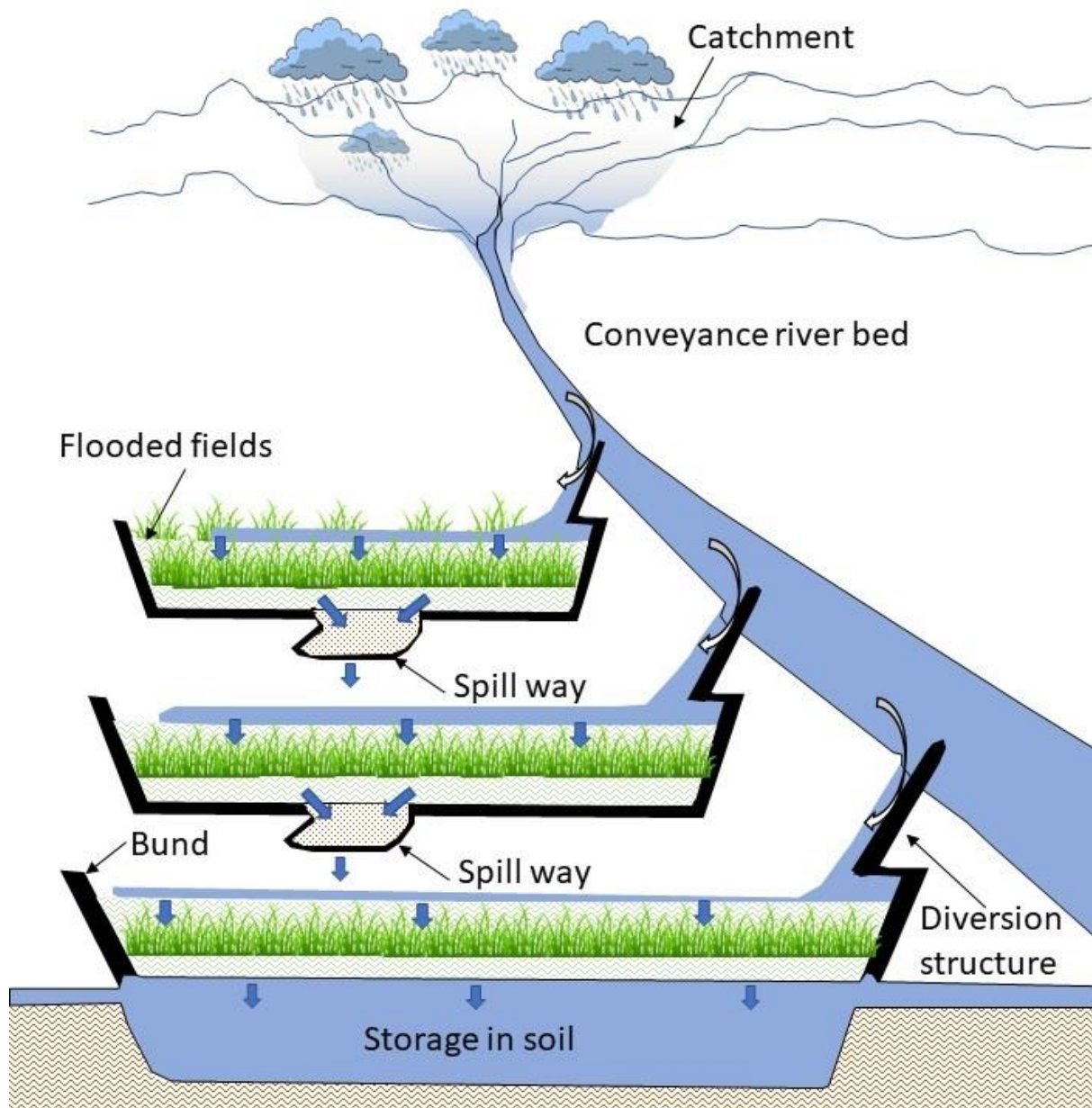
407 livelihoods for nearly 50 million smallholder farmers across water-stressed African basins  
408 (Zenebe et al., 2022). There are four widely practiced flood irrigation systems: (i) floodplain  
409 agriculture (flood recession and rise) in which cultivation occurs using receding and/or rising  
410 floodwater, (ii) flood inundation canals, which are fed by temporarily high-water levels in  
411 rivers to irrigate adjacent low-lying fields, (iii) spate irrigation, which makes use of short  
412 duration floods generated from mountain catchments, and (iv) depression agriculture, where  
413 shallow, seasonally waterlogged depressions retain sufficient moisture for dry season grazing  
414 and crop production (Kool et al., 2017).

415 Of four types, spate irrigation is quite popular in middle eastern and some African countries.  
416 Spate irrigation is defined as a pre-planting system of irrigation where water use is made of  
417 seasonal rivers (wadis) producing flash floods of short duration from highlands and  
418 mountainous areas (Hadera, 2001). These floods are diverted by structures (flow diversions,  
419 canals and control structures) to irrigate the lowlands (Tesfai & Stroosnijder, 2001) (Fig. 6).  
420 Spate irrigation is practised traditionally for rainfed cropping in arid and semi-arid areas where  
421 rainfall is too low such as the Middle East (Yemen, Pakistan, etc.) and African countries  
422 (Northern Africa, Sudan, Somalia, Eritrea) (Hadera, 2001; Tadesse & Dinka, 2018). However,  
423 in recent decades, the headworks, canals, and distribution structures of the spate irrigation  
424 system have been subjected to unsuccessful modernization interventions. These failures are  
425 due to heavy sedimentation, severe floods, or new designs that weren't compatible with local  
426 customs (Tadesse & Dinka (2018).

427

428





429

430 Figure 6: Schematic diagram of spate irrigation system (Adapted from: Mekdaschi & Liniger,  
 431 2013). Conveyance river bed flood water from mountain catchments is diverted by diversion  
 432 structures to the fields by free intakes, diversion spurs, or bunds that are built across the river  
 433 bed. Then water is channelled through a network of primary, secondary, and sometimes tertiary  
 434 flood channels.

435

436 Spate irrigation has been used traditionally in Southern Tigray and in some semi-arid areas in  
 437 Oromia region of Ethiopia (Mehari at al., 2011; MoA, 2011). Such irrigation systems were  
 438 traditionally developed and managed by water users' associations but headed by individuals  
 439 for construction, water allocation, and operation and maintenance (Belay and Bewket, 2013).  
 440 These associations comprise of up to 20 to 30 groups each with 200 users who share a common

441 main canal and its branches (MoA, 2011). The traditional floodwater management practised in  
 442 Africa has promoted some fairness in floodwater sharing. It, however, has lacked critical  
 443 agricultural floodwater management rules and practices, and has remained at a low level of  
 444 production with subsistence livelihood system (Zenebe et al., 2022).

445

### 446 **3. Degradation of AISs: Status, Causes, and Restoration Efforts**

447 Tables 2 and 3 provide an account of studies related to status and causes of degradation,  
 448 restoration efforts, and ecosystem services by country and typology respectively.

449

#### 450 **3.1 Status of Degradation**

451 Most of the 46 studies examined have discussed the indications of degradation of AISs in Sri  
 452 Lanka and India accounting for 42.9% (12) and 32.1% (9) respectively, while no such cases  
 453 were reported for Indonesia and China. In most cases, degradation was due to the combination  
 454 of adverse natural factors (e.g., heavy and stormy rainfall) and social causes (e.g., human  
 455 neglect). In one study for Pakistan, dry spells have led to the eventual downfall of the irrigation  
 456 systems (Khan & Nawaz, 1995) (Table 2).

457

458 Table 2: Categorization of the status and causes of degradation, restoration efforts, and  
 459 ecosystem services provided by various ancient irrigation systems (by country)<sup>1</sup>

	Indication of Degradation	Causes of Degradation			Restoration	Total
		Natural causes	Physical causes	Social causes		
<b>Sri Lanka</b>	12	3	2	10	9	36
<b>India</b>	9	2	4	8	7	30
<b>Indonesia</b>	0	0	0	0	0	0
<b>China</b>	0	0	0	0	0	0
<b>Pakistan</b>	1	1	1	2	1	6
<b>Nepal</b>	1	0	0	1	1	3
<b>Middle East</b>	3	2	1	4	4	14
<b>Sudan</b>	1	0	0	0	0	1
<b>Tunisia</b>	0	0	0	0	0	0
<b>Mozambique</b>	1	1	0	0	0	2
<b>Egypt</b>	0	0	0	0	0	0
<b>Nigeria</b>	0	0	0	0	1	1

<b>Ethiopia</b>	0	0	0	0	0	0
<b>Total</b>	28	9	8	25	23	93

460 Source: Authors' calculations

461 <sup>1</sup>The total row count and the column count exceed the number of journal papers reviewed since some  
462 articles cover multiple aspects of causes of degradation and multiple countries.

463  
464 Few studies reported that the degradation was due to the imbalance of water availability and  
465 requirement. Furthermore, the rapid change in socio-economic environment also led to the  
466 disruption of the AISs (Bandara, 1985; Khan & Nawaz, 1995; Reddy et al., 2018; Abeysingha  
467 et al., 2021). A common issue among the various irrigation schemes across Asia and Africa  
468 was the lack of user-based maintenance (Bastakoti et al., 2016; Reddy et al., 2018). Analysis  
469 of degradation based on the type of irrigation system showed highest number of studies  
470 conducted on rainwater-based TCS (21; 72.4%) followed by groundwater based karez system  
471 (3; 10.3%), while one study was reported for floodwater based spate irrigation system  
472 (Abdelgalil & Bushara, 2018).

473  
474 Studies on TCSs in India and Sri Lanka have discussed aspects related to system degradation,  
475 impact of climate change, water balance and water quality, irrigation efficiency, rehabilitation  
476 strategies, etc. Those studies have shown that the systems are getting deteriorated, though  
477 considerable attention is being paid to rehabilitation (Turner, 1994; Reddy et al., 2018).  
478 Abeysingha et al. (2021) and Chinnasamy & Srivastava (2021) thoroughly discussed the  
479 degradation and rehabilitation of the hydrological structures in all systems in India and Sri  
480 Lanka. Water quality studies were only reported for TCSs and qanats whereas water wastage  
481 was discussed for TCSs, dams, and qanats (Jayasena & Gangadhara, 2014; Abeysingha et al.,  
482 2021). Van Meter et al. (2016) reported that a large fraction of the tank water was being wasted  
483 and that efficient management of structures in tanks was necessary. On the otherhand, in qanats  
484 evaporation losses are minimal and their underground coverage protects against sediment  
485 deposition from windstorms (Ashraf & Hasan, 2020). Water losses from small reservoirs in  
486 semi-arid regions are attributed primarily to evaporation, where up to 40% of the tank stored  
487 water could be lost through this process but could be reduced significantly (by 70-85%) using  
488 floating covers (Mady et al., 2020).

489  
490 In Yeman, most of the dams have been abandoned are mostly broken or reused as terraces these  
491 days (Charbonnier, 2009). In Pakistan, Ashraf & Hasan (2020) reported the key factors that

492 resulted in the threatening of the karez system. These were: (a) mining of groundwater and  
 493 lowering of water tables resulting from indiscriminate development of deep tube wells, (b)  
 494 reduction in flow because of siltation of channels due to deferred maintenance, (c) soil erosion  
 495 affecting the mother well and the vertical shafts, (d) lack of skilled manpower, and (e) lack of  
 496 support from the government regarding repair and maintenance of the systems. Today, 60–70%  
 497 of the karezes in Pakistan are not in use due to drought and low groundwater recharge. Out of  
 498 total 5887 karezes in Afghanistan, 600 (11%) were identified as being active while 5276 (89%)  
 499 as inactive (Himat & Dogan, 2019).

500

### 501 **3.2 Causes of Degradation**

502 The review revealed that the causes of degradation (natural, physical, and social) of the AISs  
 503 varied among the countries (Table 2). Analyzing the degradation studies by country, twenty-  
 504 five studies (52.5%) mentioned social causes such as urbanization, population rise, and other  
 505 socio-economic changes as the main causes of degradation (e.g., Khan & Nawaz, 1995;  
 506 Satishkumar et al., 2010; Abeysingha et al., 2021). Investigation of individual countries  
 507 revealed that in Sri Lanka, there were three cases of degradation caused by natural factors  
 508 (Geekiyanage & Pushpakumara, 2013; Ratnayake et al., 2021; Kumari et al., 2019). In contrary,  
 509 in China, there was no indication of the causes of degradation (Chai & Zeng, 2018).

510 Table 3: Categorization of the status and causes of degradation, restoration efforts, and  
 511 ecosystem services provided by various ancient irrigation systems (by typology)<sup>1</sup>

		Indication of Degradation	Causes of Degradation			Restoration	Total
			Natural Causes	Physical Causes	Social Causes		
<b>Rainwater Based Irrigation Systems</b>	Cascade Tanks	21	5	6	18	16	66
	Ponds or Minor Tanks	2	0	1	2	2	7
	Small Dams	1	1	0	0	1	3
<b>Groundwater Based Irrigation Systems</b>	Qanats	1	0	1	2	1	5
	Karez	3	3	1	4	3	14
	Aflaj/ Falaj	0	0	0	0	0	0
<b>Floodwater Based Irrigation Systems</b>	Flood Plain	0	0	0	0	0	0
	Flood Innundation	0	0	0	0	0	0
	Spate	1	0	0	0	0	1
	Depression	0	0	0	0	0	0
<b>Total</b>		29	9	9	26	23	

512 Source: Authors' calculations

513 <sup>1</sup>The total row count and the column count exceed the number of journal papers reviewed since some  
514 articles cover multiple aspects of causes of degradation and multiple AISs.

515 The analysis of causes of degradation by type of AIS showed large number studies on rainwater  
516 based irrigation system. The most prevalent cause of deterioration of cascade tanks was related  
517 to social issues such as negligence and lack of participatory maintenance (Hakeem & Raju,  
518 2009; Kekulandala et al., 2021). In Sri Lanka, natural causes such as high intensity and erratic  
519 rainfall patterns, resulting in large fluctuations in tank water availability, have contributed to  
520 the degradation of cascade tanks (Geekiyanaage & Pushpakumara, 2013; Srivastava &  
521 Chinnasamy, 2021).

522 Many studies in India and Sri Lanka have reported that the reduction in tank recharge capacity  
523 due to tank siltation and improper maintenance has resulted in declining number and  
524 performance of village tanks (Nanthakumaran et al., 2021; Kekulandala et al., 2021;  
525 Geekiyanaage & Pushpakumara, 2013; Abeysingha et al., 2021). Factors such as variation in  
526 rainfall, siltation, encroachment of tank beds and catchment areas, and channel obstructions  
527 have reduced the tank-irrigated area over the years (Chowdhury and Behera, 2018). Hakeem  
528 and Raju (2009) stressed that years of neglect and indifference in tank maintenance and  
529 management have eroded their functional efficiency and jeopardized their multifarious  
530 benefits. All these factors have caused many tanks in India and Sri Lanka starting to deteriorate  
531 and underperform in terms of delivery of irrigation water and groundwater recharge (Debnath  
532 et al., 2020). Likewise, social and natural causes have led to the degradation of karez in  
533 Pakistan and Afghanistan (Ashraf & Hasan, 2020).

534

### 535 3.2.1 *Changes in climate and natural environment*

536 Climate change has an impact on AISs in numerous ways (Chinnasamy & Srivastava, 2021;  
537 Ratnayake et al., 2021). The erratic rainfall pattern has been a major cause for large fluctuations  
538 in water depth inside tanks. Chinnasamy & Srivastava (2021) reported 0–50% fluctuations in  
539 tank water depth in India. Similarly, increasing climate variability has been identified as one  
540 of the key causal factors for the reduction of ecological and socio-economic productivities of  
541 the TCSs in Sri Lanka and reduced karez system's efficiency due to low precipitation during  
542 1997-2017 in Pakistan (Macpherson et al., 2017; Ratnayake et al. (2021)). In the past, the  
543 maintenance of the karez system was carried out by workers which ensured sustainable water

544 use for the communities but now the karezes have been impacted by poor maintenance,  
545 overcrowding, war, and insecurity (Himat & Dogan, 2019).

546 For spate irrigation in African countries, increasing soil erosion caused by erratic rainfall in  
547 highlands and breakage of the irrigation structures by destructive floods in downstream areas  
548 have been the main problems. The eroded soil from highlands is however high in available  
549 phosphorus and potassium. Hence, the eroded soil transports these nutrients together with  
550 some nitrogen and eventually gets deposited on spate irrigated lowland fields in the  
551 downstream. This results in soil nutrient enrichment which enables farming without or with  
552 reduced application of chemical fertilizer (Hadera, 2001).

### 553 3.2.2 *Lack of maintenance of irrigation infrastructure*

554 The karez irrigation system may not be used effectively if construction and maintenance  
555 processes are not carried out properly and regularly, and this could adversely affect the  
556 agricultural production, water supply and social life. For these reasons, Himat & Dogan (2019)  
557 suggested that the associated organizations involved in karez systems in Afghanistan be  
558 encouraged for rehabilitation of karez infrastructures and water supply system. Azami et al.  
559 (2020) also suggested for restoring ancient karez tunnels in Afghanistan and upgrading them  
560 using modern sustainable technologies such as redesigning canals to make water distribution  
561 more efficient. Likewise, most of the dams in Yemen have been abandoned, which today are  
562 either mostly broken or reused as terraced walls (Charbonnier, 2009). Vermillion & Al-  
563 Shaybani (2004) suggested using strategies to facilitate the building of local institutions to  
564 govern, manage and finance operation and maintenance of dams and water delivery networks.

565 Extraction or mining of ground water through indiscriminately developed and used deep tube  
566 wells in qanat system in Pakistan can lower water tables and reduce water flow. This is further  
567 aggravated by siltation and degradation of channels due to deferred maintenance, soil erosion  
568 affecting the mother well and the vertical shafts, and lack of skilled manpower and support  
569 from the government for repair and maintenance (Ashraf & Hasan, 2020).

570 In Eritrea, spate irrigation systems were developed and managed by water users' associations  
571 while water allocation, operation and maintenance activities were headed by individuals  
572 (Tadesse & Dinka, 2018). Spate systems however have been the subject of inappropriate  
573 modernization interventions such as heavy investments on head works and canals and  
574 distribution structures with an aim for improving floodwater diversion efficiency.

575 Consequently, in many cases, the modernization interventions were not successful due to heavy  
576 sedimentation, occurrence of high floods, local water distribution rules, or the new designs not  
577 coherent with indigenous practices (Tadesse & Dinka, 2018). Hence, spate irrigation is prone  
578 to high risks and uncertainties such as too little or no floodwater, structural damage by large  
579 floods, and increased sedimentation of canals and fields.

### 580 *3.2.3 Land use change, inappropriate external interventions, and lack of proper management*

581 During the early stages of irrigation development, communal management was innovative,  
582 sustainable and resilient with cooperation and long-term relationships between the irrigators  
583 within the community. Overtime, cooperation became more challenging, demonstrating the  
584 tragedy of commons in irrigation management in many countries in Asia (Takeshima & Edeh,  
585 2017). Palanisami (1991) reported that long-term poor management of tanks resulted in tank  
586 siltation, foreshore encroachment and poor tank structures, resulting in reduced tank storage  
587 capacity (by 15-20%) in Southern India. In addition, shifting away from community  
588 management also led to the waning of the irrigation system in India (Debnath et al., 2020).

589

590 One of the factors that resulted in the degradation of the TCSs in Sri Lanka was the removal of  
591 *Rajakariya*, a semi-feudal system, by the British administration in 1832 without providing any  
592 alternative system for maintenance and repair of the village tanks. This resulted in negligence  
593 in management and repair and maintenance of many AISs, including the TCSs, ultimately  
594 resulting in their degradation. Furthermore, improper maintenance of tank bunds and canals,  
595 tank siltation, improper structural repairs, intensive agricultural practices using excessive  
596 chemical fertilizer usage, climatic change, incidence of malaria, depletion of soil fertility,  
597 foreign invasions and famine were some reasons for collapse of the TCSs. Thus, Dharmasena  
598 & Kadupitiya (2021) suggested for further studies to understand how changes in cascade  
599 ecology impact the capacity of the system to supply ecosystem services.

600 Excessive ground water extraction from tube wells has become a serious threat in areas where  
601 tank cascades (Srivastava & Chinnasamy, 2022) and qanats (Ashraf & Hasan, 2020) are  
602 located. Lack of skilled manpower and support from the government for repair and  
603 maintenance of qanats led to their degradation in Iran (Alemohammad & Gharari, 2017).  
604 Likewise, thousands of karezes in Afghanistan were destroyed due to drying up, over-  
605 exploitation of groundwater, increased demand for deep wells, and poor protection and  
606 maintenance (Azami et al., 2020).

607 Land use change has also impacted on water delivery and availability from the AISs. For  
608 example, Srivastava & Chinnasamy (2021) reported that increased urbanization resulting from  
609 change in land use was the primary cause of high runoff (40-60% of rainfall) from tank  
610 catchments in Southern India. This resulted in variable (0–15%) seasonal water availability  
611 from the tank across catchments, with summer season recording the least tank storage (0–8%)  
612 (Srivastava & Chinnasamy, 2021). Sirimanna et al. (2022) found that with the expansion of  
613 home gardens and farmlands, the tank components of some TCSs in Sri Lanka have changed  
614 over time causing an ecological imbalance and deterioration of tank structures, and reduced  
615 efficiency, effectiveness and resource footprint. Kulasinghe & Dharmakeerthi (2022) evaluated  
616 the extent to which land management practices affect sustainability of tank watersheds in Sri  
617 Lanka. They observed that the use of scrub/shrub lands has degraded the soil organic matter  
618 reserves and soils in lower position of the tank would continue to degrade. They recommended  
619 for introducing policies on land use and input management for improving the sustainability and  
620 resilience of the TCSs. Studies on floodwater based systems in Africa and qanat and karez  
621 system in Afghanistan, Pakistan and middle east have also shown evidences of neglect or poor  
622 management resulting in their deterioration and low crop productivity and profitability  
623 (Baquhaizel et al., 2011; Macpherson, et al., 2017; Vermillion & Al-Shaybani, 2004; Zenebe  
624 et al., 2022).

625

### 626 ***3.3 Restoration of AISs***

627 The review revealed that most studies on restoration efforts were focused on Sri Lanka and  
628 India accounting for 9 (39%) and 7 (30%) respectively. In Indian studies, introducing policy  
629 initiatives and intervention measures were discussed (Reddy et al., 2018). Use of satellite data  
630 was identified as an initiative for restoring the irrigation systems (Hakeem & Raju, 2009) and  
631 of remote sensing, GIS and GPS for rehabilitation of tank cascades in India (Krishnaveni et al.,  
632 2011). In middle east countries, sustainable management of irrigation systems was suggested  
633 as an effective restoration approach (Himat & Dogan, 2019; Azami et al., 2020).

634

635 The restoration efforts by AISs showed most studies (16; 69.6%) focused on TCSs. Reddy et  
636 al. (2018) and Srivastava & Chinnasamy (2021) suggested that building ownership of TCSs  
637 could aid in their restoration. Furthermore, proper management of TCSs in Sri Lanka was  
638 reported as an effective restoration effort (Abeysingha et al., 2021). There were also cases of  
639 rehabilitation efforts for degenerated karezes in Afghanistan (Azami et al., 2020). One such



640 instance discussed the possibility of encouraging the existing farmers' or irrigators'  
641 organizations for their rehabilitation and improved water supply in Pakistan and Afghanistan  
642 (Himat & Dogan, 2019).

643

644 Johnson et al. (2004) argued that relatively more attention needs to be paid to developing and  
645 strengthening locally controlled organizations for reforming irrigation institutions compared to  
646 restructuring public agencies and required supporting services. They suggested for maintaining  
647 a balance between the local organizations and public agencies as the pressure for institutional  
648 reform increases globally. Albinson & Perry (2002) highlighted the importance of using a  
649 structured design with clear operational rules and the transparent monitoring system in  
650 developing a participatory management of an irrigation system.

651 Abeysinghe et al. (2021) reported that the satisfaction on the quality of water for drinking  
652 influenced the participatory decision of the community for rehabilitation of Thirappane TCS  
653 in Sri Lanka. Paranage (2018) noted the importance of treating the relationship between water  
654 management infrastructure and society as a complex socio-material assemblage for a surface  
655 irrigation system and a TCS, also in Sri Lanka. Likewise, Dayananda et al. (2021a, 2021b)  
656 reported that the restoration of TCSs needs to be coupled with market interventions to promote  
657 high value agriculture as cost for desiltation cannot be covered with returns from paddy  
658 cultivation alone.

#### 659 **4. Ecosystem Services Provided by ancient irrigation systems**

660 Ecosystem services can be defined broadly as the conditions and processes through which  
661 natural ecosystems and species that make them up sustain and fulfill human life (Daily, 1997).  
662 Costanza et al. (1997) defined ecosystem services as the benefits human populations derive,  
663 directly or indirectly, from ecosystem functions. Four types of ecosystem services have been  
664 distinguished by the scientific body: provisioning, regulating, cultural, and supporting  
665 (Millennium Ecosystem Assessment, 2005; Xiofei et al., 2020). Provisioning services include  
666 goods produced by the ecosystems while regulatory services are benefits from regulation of  
667 ecosystem processes. Cultural services are non-material benefits from ecosystems which  
668 include the spiritual, recreational, aesthetic and educational values. Supporting services are  
669 factors necessary for producing ecosystem services. Collectively, ecosystem services can be  
670 described as provisioning of food, water, fiber and fuel; regulating droughts, floods and water  
671 purification; providing humans with recreational, spiritual and aesthetic values, and supporting

672 basic ecological properties/processes (Biswas et al., 2022; Haines-Young & Potschin, 2018;  
 673 Millennium Ecosystem Assessment, 2005; Ojha et al., 2022).

674 Tables 4 and 5 provide the distribution of studies on ecosystems services and functions by  
 675 country and typology, respectively. Annex 2 of supplementary material provides the detailed  
 676 account of ecosystem services provided by various AISs. The review demonstrated that as  
 677 compared to other countries, more detailed information about the ecosystems services have  
 678 been reported for Sri Lanka followed by India (Table 4). Most studies (40; 47.6%) dealt with  
 679 AISs providing provisioning services such as water for agriculture and fishery in Sri Lanka  
 680 (Paranage, 2018). Studies on regulatory services were mostly from Sri Lanka and India  
 681 (Jayatilaka et al., 2003; Chinnasamy & Srivastava, 2021). One of the most important regulatory  
 682 services was flood prevention and control, although other services such as erosion control,  
 683 groundwater recharge, and evaporation minimization were also reported (Geekiyanage &  
 684 Pushpakumara, 2013; 2018; Melles & Perera, 2020). Likewise, cultural services such as  
 685 religion specific rituals or chanting, or start of certain activities based on auspicious times (e.g.,  
 686 movement of the moon), and such practices passed through generations were reported in studies  
 687 from Sri Lanka (Marambe et al., 2012). A study from a middle east country also reported  
 688 presence of cultural services, such as providing solidarity in social life, cultural diversity, and  
 689 spiritual and religious values (Himat & Dogan, 2019). Bandara (1985) & Geekiyanage &  
 690 Pushpakumara (2013) emphasized that AISs have paved the way for cultural customs and  
 691 development of civilizations and Abeysingha et al. (2021) on irrigation schemes' contribution  
 692 to scenic views and aesthetic functions in Sri Lanka. Finally, Geekiyanage & Pushpakumara  
 693 (2013) and Ratnayake et al. (2021) discussed supportive services such as establishing habitats  
 694 for flora and fauna in Sri Lanka, and Jayasena & Gangadhara (2014) and Abdelgalil & Bushara  
 695 (2018) on water cycling in middle east and African countries.

696 Table 4: Ecosystem services provided by various ancient irrigation systems (by country)<sup>1</sup>

	Ecosystem Services				Total
	Provisioning Services	Regulatory Services	Cultural Services	Supporting Services	
Sri Lanka	16	11	9	9	45
India	11	4	0	0	15
Indonesia	0	0	0	1	1
China	1	0	1	0	2
Pakistan	2	0	0	0	2
Nepal	1	0	0	0	1
Middle East	5	2	4	1	12
Sudan	1	0	0	1	2

Tunisia	0	1	0	0	1
Mozambique	1	0	0	0	1
Egypt	0	0	0	0	0
Nigeria	1	0	0	0	1
Ethiopia	1	0	0	0	1
Total (AISs)	40	18	14	12	84

697

698 Source: Authors' calculations

699 <sup>1</sup>The total row count and the column count exceed the number of journal papers reviewed since  
700 some articles cover multiple ecosystem services and multiple countries.

701 Table 5: Ecosystem services provided by various ancient irrigation system (by typology)<sup>1</sup>

		Ecosystem Services				Total
		Provisioning Services	Regulatory Services	Cultural Services	Supporting Services	
<b>Rainwater Based Irrigation Systems</b>	Cascade Tanks	26	15	9	10	60
	Ponds or Minor Tanks	4	0	1	1	6
	Small Dams	3	1	1	1	6
<b>Groundwater Based Irrigation Systems</b>	Qanats	2	1	2	2	7
	Karez	3	1	2	0	6
<b>Floodwater Based Irrigation Systems</b>	Aflaj/ Falaj	1	0	1	1	3
	Flood Plain	2	0	1	1	4
<b>Floodwater Based Irrigation Systems</b>	Flood Inundation	2	0	0	0	2
	Spate	1	0	0	1	2
	Depression	2	1	1	1	5
Total (AISs)		46	19	18	18	101

702 Source: Authors' calculations

703 <sup>1</sup>The total row count and the column count exceed the number of journal papers reviewed since  
704 some articles cover multiple ecosystem services and multiple AISs.

705

706 Annex 3 of supplementary material provides details of the ecosystem services provided by  
707 TCSs of Sri Lanka. Dharmasena & Kadupitiya (2021) provided a comprehensive list of  
708 ecosystem services arising from TCSs based on the perception of the community and other  
709 stakeholders. Ratnayake et al. (2021) also provided ecologically important micro-land uses of  
710 the tank system and their provisioning, regulatory and supporting services. Likewise, Vidanage  
711 et al. (2022) reported that the TCSs provide storage water for irrigation with associated benefits  
712 of provisioning water for drinking, washing and bathing for human, water for fisheries and  
713 livestock, control of soil erosion, flood prevention and water quality control, reducing  
714 vulnerability to drought, retaining the health of the soil, and maintaining ground water and  
715 micro-environment. Benefit transfer method is used to estimate economic worth of ecosystem  
716 goods or services at a research site based on the valuation study conducted in other sites

717 (Kumar, 2010). Using this method, Vidanage et al. (2005), Vidanage (2019) and Dayananda et  
718 al. (2021) estimated the monetary value of components of a TCS in Sri Lanka. Vidanage (2019)  
719 used the Choice Experiment Method to assess nonmarket values of small TCSs. Interestingly,  
720 results showed local farmers' willingness to pay to restore the degraded small tanks.

721 When analysed by typology, most studies focussed on rainwater-based systems, with more  
722 comprehensive information for TCSs (Table 5). All irrigation schemes extensively provided  
723 provisional service of water for agricultural purposes. Among the groundwater-based systems,  
724 studies reporting ecosystems services provided by karezes were greatest followed by qanats  
725 with higher number of studies on provisioning followed by regulatory services. Among the  
726 flood-based types, studies on floodplains were highest followed by depressions and spate. Most  
727 studies on flood-based systems reported their role in providing the provisioning services.

728

#### 729 **4.1 Provisioning Services**

730 **TCSs:** The cascades, tanks and their associated components are resilient providing multiple  
731 uses with direct and indirect options for irrigation water management (Geekiyana &  
732 Pushpakumara, 2013; Bandara, 1985; Renwick 2001; Thennakoon, 2017; Dharmasena &  
733 Kadupitiya, 2021; Vidanage et al., 2022). The diversity of the ecological system with the  
734 catchment forests, aquatic habitats, common areas, land use zoning system, and various crop  
735 combinations emerged as a response to ensure sustainable agriculture given the challenges of  
736 recurrent water storage and drought in seasonally dry environments prevailed in the TCSs  
737 (Bandara, 2009; Marambe et al., 2012). The crops suitable for lowland areas are cultivated in  
738 paddy (lowland) fields in wet (*Maha*) season which provide a staple food, although during the  
739 dry season (*Yala*) such area is also cultivated with other crops such as chilli (*Capsicum*  
740 *frutescens*), maize and kurakkan (*Eleusine coracana*). In general, TCSs provide around 25%  
741 of paddy production in Sri Lanka (Wijekoon et al., 2016). The rainfed upland fields are used  
742 for cultivation of other field crops such as maize and vegetables such as kurakkan, manioc  
743 (*Manihot esculenta*), sweet potato (*Ipomoea batatas*), pumpkin (*Cucurbita moschata*), luffa  
744 (*Luffa aegyptiaca*), pole beans (*Phaseolus vulgaris*) and other kinds of beans, gingelly oil  
745 (*Sesamum indicum* L.), etc. The tank also serves as the habitat for inland fisheries and aquatic  
746 plants which provide fish as a main protein source, lotus (*Nymphaea nouchali*) roots and seeds,  
747 kekatiya (*Aponogeton crispus*), and other edible aquatic plants. The home gardens around  
748 houses of the local communities residing near the tanks are usually cultivated with perennial  
749 crops such as coconut (*Cocos nucifera* L.), jackfruit (*Artocarpus heterophyllus* Lam.),

750 breadfruit (*Artocarpus altilis*), mango (*Mangifera indica*), timber trees, medicinal plants, and  
751 vegetables. Interceptor (*kattakaduwa*), a land area with trees and shrubs dominated by native  
752 and endemic trees and plant species with medicinal values, with dual function of acting as wind  
753 barrier and water hardness up-taker, is located in between the sluice gate and paddy fields.  
754 Species associated with waterlogging environment in interceptor and reed bed (*perehana*) such  
755 as sedges (*pan*) provide material for industries for weaving. The forest in the catchment area  
756 protects the tank and provides non-timber forest products and habitat for wildlife. The  
757 catchment area, upper inundation area (*Wew-thaulla*), home garden, and rice fallow provide  
758 grazing lands for livestock such as cattle, buffalo, and goat. The entire system components  
759 provide food and nutrient requirements and farming and livelihood opportunities to the settlers  
760 depending on the TCSs. The TCSs harvest rainwater and mitigate floods and drought  
761 (Vidanage et al., 2022). TCSs in India provide provisioning services in the form of drinking  
762 water, irrigation, fisheries, livestock, agriculture, shell, fodder, medicinal plant, vegetable, fuel,  
763 wood, flower, grass, fruits, aquaculture, domestic, washing, and bathing.

764

765 **Qanat and Ma'aayeen system:** Qanat irrigation systems in Iran provide provisioning services  
766 such as water to irrigate the community garden (*bustan*) to grow food crops, drinking, domestic,  
767 and livestock water, along with small-scale agriculture (Jayasena & Gangadhara, 2014).  
768 Ma'aayeen irrigation system in Yemen, a traditional water harvesting system, provides water  
769 for irrigation and mosques for ablution, washing, bathing and watering animals, to school and  
770 mosque gardens for irrigation, drinking, domestic, and livestock water, along with small-scale  
771 agriculture (Baquhaizel et al., 2011).

772 **Dams:** Dams in Yemen provide provisioning services such as irrigation and cultivation of vines  
773 and summer crops such as sorghum, water for domestic use, and irrigation (Maraqten, 2017).

774

#### 775 **4.2 Regulatory Services**

776 Flood control as a regulatory service is provided by TCSs (Abeysingha et al., 2021; Van Meter  
777 et al., 2016) and flood-based irrigation systems (Haile, 2015; Tadesse & Dinka, 2018). Also,  
778 both systems are important to overcome water scarcity problems under drought conditions and  
779 play a significant role in recharging ground water. Groundwater table in TCSs is raised through  
780 percolation and then gradually the percolated water is released to the tank through subsurface  
781 flow. Recharged groundwater table allows the villagers to extract water for drinking purposes  
782 from their home-built wells. The special component upstream tree belt (*gasgommana*) in TCSs

783 acts as a wind barrier reducing the evaporation losses. Further, phyto-remediation is a unique  
784 feature in TCSs helping in water purification. Likewise, qanats offer regulatory services by  
785 acting as a buffer and becoming resilient against drought. The regulatory services are  
786 manifested by minimising the evaporation losses because the water passes through the  
787 underground canals. Further, its underground coverage protects against sediment deposition  
788 from windstorms and thus maintains water quality.

789

### 790 **4.3 Cultural Services**

791 The review showed that there are many socio-cultural or socio-economic services provided by  
792 TCSs in Sri Lanka. The *kem* methods, which are natural and inexpensive ritualistic crop  
793 protection measures in paddy fields while minimising environmental pollution and the  
794 destruction of other organisms, are among such cultural services. There are also some folk  
795 poems that come from an oral tradition. Ancient people sing folk songs to alleviate their  
796 feelings of isolation, sadness, and tiredness when engaged in agricultural tasks like planting,  
797 harvesting, and protecting the field from wild animals at night. Furthermore, there are  
798 recreational, spiritual, and aesthetic values of TCSs. Tank dependent people use their  
799 traditional knowledge and practices such as cosmic and astrology in performing agricultural  
800 practices. Furthermore, there are norms, rituals, religious beliefs (e.g., Buddhist values and  
801 practices) and customary laws within TCSs. MoA and FAO (2016) discussed about specific  
802 folklore, folksong, folk poems, folk music, folk drama, beliefs and rituals, traditional festivals  
803 and practices, and traditional arts and crafts as related to the village tank farming cultures in  
804 Sri Lanka. Some examples of such services include: (i) *Pal kavi* (poems recited by men at night  
805 to watch hut to protect fields from wild animals), (ii) *Nelun Kavi* (poems recited by women  
806 during weeding and gap filling in paddy fields), (iii) *Andahera* (verses recites during ploughing  
807 and threshing), (iv) folk dances such as *Goyam natuma* (reaping dance), *Kalagedi natuma*  
808 (traditional dance with a pot) and *Kulu natuma* (winnowing dance), and (v) *Sokeri* dance (a  
809 dramatic dance performed after harvesting paddy) (MoA and FAO, 2016).

810

811 MoA and FAO (2016) also provided some examples of prominent rituals such as: *Mutti*  
812 *nameeme mangallaya* (ceremony of pot, a ritual performed after harvesting to show gratitude  
813 to the god), *Kiri ithirim mangallaya* (after reaping of each crop, this ritual is performed to thank  
814 gods and deities for protecting their crops and cattle against evils and natural disasters) and  
815 *Kohombakankariya* (most venerated and elaborated traditional dance of healing rituals held to

816 invoke the blessings of the twelve deities). Other rituals and related practices include: (i) *Aluth*  
817 *sahal mangallaya* - performed to offer milk rice cooked with the first portion of the paddy  
818 harvest to the Buddha collectively at the village temple, (ii) praying gods for crop protection -  
819 performed when there are disastrous situations such as drought, floods, epidemics, and (iii)  
820 ritualistic plant protection method such as use of *Kem*. Dharmasena and Kadupitiya (2021)  
821 reported that the recreational and aesthetic values and culture, traditions, and customs and  
822 practices provided by TCSs were the top ranked cultural services in Sri Lanka.

823 Likewise, in Iran, though many qanats have now been degraded, some (e.g., Kish qanat in Kish  
824 Island in South and Fin Garden Qanat) are being rehabilitated and converted to museums  
825 attracting many tourists each year thus providing cultural services indirectly (Alemohammad  
826 & Gharari, 2017).

827

#### 828 **4.4 Supporting Services**

829 Meeting household food security is the most valued support service provided by all AISs  
830 (Hadera, 2001; Geekiyanage & Pushpakumara, 2013; Jayasena & Gangadhara, 2014; Haile,  
831 2015; Chowdhury & Behera, 2021). Rice is the main crop in India and Sri Lanka where AISs  
832 provide food security for large number of populations. In Yemen, vines, sorghums, and many  
833 other crops are irrigated using water from dams and hence become crucial for meeting food  
834 security (Baquhaize et al., 2011). In qanats too, water is used to grow crops such as cereals,  
835 pulses, vegetables, fruits, vines, olives, fruit trees, fodder, cotton, and tobacco. Furthermore,  
836 qanats provide water for community gardens which help meet food security. Likewise, spate  
837 irrigation in Africa is used to cultivate sorghum (Hadera, 2001) and sugarcane (Haile, 2015).  
838 Other most valuable supporting service provided by TCSs is conserving and maintaining the  
839 biodiversity by providing habitat for various flora and fauna (Dharmasena & Kadupitiya, 2021;  
840 Ratnayake et al., 2021).

841

### 842 **5. Conclusions and Research Needs**

843 This review identified and developed the typologies of AISs, documented their status and  
844 causes of degradation, and their ecosystem services and functions in Asia and Africa. Many  
845 AISs are in threat of degradation due to both natural and human induced causes. Climate change  
846 and associated factors and decreasing participation of local farmers and communities in their  
847 management were identified as main causes of degradation. Government and private sector

848 support for their maintenance and rehabilitation has generally been declining though a renewed  
849 interest has been shown for TCSs in Sri Lanka due to their recent recognition by the FAO.

850

851 The review showed that although the provisioning services of TCSs have been adequately  
852 researched and documented long-term changes of such services have not been addressed.  
853 Further, the regulating, cultural and supporting services of TCSs have not been properly  
854 estimated and documented. Moreover, very little has been researched with the use of novel  
855 methods to assess the values of various ecosystem services. Further, much attention has been  
856 paid on individual tanks and there is paucity of knowledge on the evolution of the TCS as a  
857 whole and their changes with time. Hence, establishment of long-term permanent research  
858 program to study the productivity and sustainability of TCSs with multi-disciplinary teams is  
859 an urgent requirement.

860 Further, the failures in community management systems have led to degradation of many AISs  
861 and declining ecosystems services. The evidence provided suggests that it is critical to properly  
862 manage the AISs to remain resilient and sustainable and provide ecosystems functions and  
863 services, particularly for delivering irrigation water to crops and livestock and drinking water  
864 to local populations in the future. The review also revealed that the traditional community  
865 management systems such as *Rajakariya* in TCSs of Sri Lanka, and water users' associations  
866 in spate irrigation or local communities' associations in karez or qanat systems in Afghanistan,  
867 Pakistan, and middle east countries had played a major role in the sustainability of these  
868 systems in the past. Hence, the review suggests that the proper management of the AISs jointly  
869 by the local communities and government organizations with systems thinking would be  
870 required to preserve the ecosystems and provide various types of ecosystem services.

871

872 The provisioning services provided by AISs to the communities residing in and around those  
873 AISs are sizable. The review implied that even though the various types of ecosystems services  
874 provided by some AISs were not elaborated by previous researchers, most AISs have the  
875 potential to provide such services once they are restored and maintained. Therefore, it is  
876 important to treat AISs as global public goods and encourage global donors and national  
877 governments to invest in their restorations while supporting the local communities to engage  
878 them in maintenance of such important systems.

879



880 It is evident that AISs are diverse. Thus, the factors that led to their success in the past and the  
881 status and causes of their degradation are also highly context specific. This review shows that  
882 research investigations have been conducted only for certain AISs in some limited locations of  
883 some countries. New research is required to ascertain factors that led to successes vis-a-vis  
884 failures of AISs for sustainable rural development, to assess net returns on investments, and to  
885 ascertain investment needs through environmental cost-benefit analysis in Asia and Africa.

886

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891

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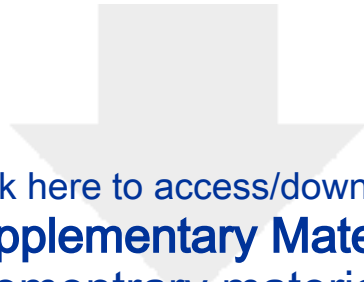
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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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